Date: July 27, 2001

7117 3 0 500		DS 8-01			
AT & TRADES	Practitioner's Docket No	PATENT			
	IN THE UNITED STATES PATENT AND TRADEMARK OF	FICE			
	Patent application				
	ofInventor(s)				
	for				
	Title of invention				
	the specification of which is being transmitted herewith				
	OR				
	In re application of: Gary M. Bardos et al Application No.: 0 9 /846,937 Group No.: Filed: May 1, 2001 Examiner: For: IMPROVED REDUCING MACHINE ROTOR ASSEMBLY AND OF CONSTRUCTING AND OPERATING THE SAME Assistant Commissioner for Patents	ТC			
****	Washington, D.C. 20231 INFORMATION DISCLOSURE STATEMENT	AUG -2 2001 3700 MAIL ROOM			
	CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10* (When using Express Mail, the Express Mail label number is mandatory; Express Mail certification is optional.)	· ·			
	I hereby certify that, on the date shown below, this correspondence is being:				
	MAILING				
	deposited with the United States Postal Service in an envelope addressed to the Assistant for Patents, Washington, D.C. 20231 37 C.F.R. § 1.8(a) 37 C.F.R. § 1.10* with sufficient postage as first class mail.				
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	TRANSMISSION				
	transmitted by facsimile to the Patent and Trademark Office.	· }			

Nicole R. Schmolitz

(type or print name of person certifying)

(Information Disclosure Statement [6-1]—page 1 of _____)



NOTE: "An information disclosure statement shall be considered by the Office if filed by the applicant within any one of the following time periods:

- (1) Within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d);
- (2) Within three months of the date of entry of the national stage as set forth in § 1.491 in an international application;
 - (3) Before the mailing date of a first Office action on the merits; or
- (4) Before the mailing date of a first Office action after the filing of a request for continued examination under § 1.114."

37 C.F.R. § 1.97(b).

NOTE: "Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section." 37 C.F.R. § 1.56(a).

"Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

- (1) each inventor named in the application;
- (2) each attorney or agent who prepares or prosecutes the application; and
- (3) every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application." 37 C.F.R. § 1.56(c).

NOTE: The "duty as described in § 1.56 will be met so long as the information in question was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98 before issuance of the patent." Notice of January 9, 1992, 1135 O.G. 13 -25 at 17.

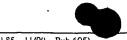
WARNING: "No information disclosure statement may be filed in a provisional application." 37 C.F.R. § 1.51(d).

List of Sections Forming Part of This Information Disclosure Statement

The following sections are being submitted for this Information Disclosure Statement:

(check sections forming a part of this statement: discard unused sections and number pages consecutively)

		•		
1.		Preliminary Statements		
2.		FORMS PTO/SB/08A and 08B (formerly FORM PTO-1449)		
3.		Statement as to Information Not Found in Patents or Publications		
4.		Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted		
5.		Cumulative Patents or Publications		
6.		Copies of Listed Information Items Accompanying This Statement		
7.		Concise Explanation of Non-English Language Listed Information Items		
		7A. EPO Search Report		
		7B. English Language Version of EPO Search Report		
8.		Translation(s) of Non-English Language Documents		
9.		Concise Explanation of English Language Listed Information Items (Optional)		
10.	7	Identification of Person(s) Making This Information Disclosure Statement		
		(complete the following, if appropriate)		
Sectio	ons	, respectively, have been continued on ADDED PAGE(S).		
NOTE	E: "	Once the minimum requirements are met, the examiner has an obligation to consider the information." lotice of April 20, 1992 (1138 O.G. 37-41, 37).		
		(Information Disclosure Statement [6-1]—page 2 of)		



FORM 6-1

Section 1. Preliminary statements

Applicants submit herewith patents, publications or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. § 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.



Statement



NOTE: 37 C.F.R. § 1.98(a)(2) requires that any information disclosure statement filed under § 1.97 shall include: "A legible copy of:

(i) Each U.S. patent application publication and U.S. and foreign patent;

(ii) Each publication or that portion which caused it to be listed;

(iii) For each cited pending U.S. application, the application specification including the claims, and any drawing of the application, or that portion of the application which caused it to be listed including any claims directed to that portion. . ."

Legible copies of all items listed in Forms PTO/SB/08A and 08B (formerly Form PTO-1449) accompany this information statement.

(complete the following, if applicable)

☐ Exception(s) to above:
	Items in prior application, from which an earlier filing date is claimed for this application, as identified in Section 4.
	Cumulative patents or publications identified in Section 5.

(Information Disclosure Statement-Section 6. Copies of Listed Information Items_Accompanying This Statement [6-1]—page __6__ of __/

Section 10. Identification of Person(s) Making This Intermation Disclosure Statement

The person m	aking this statement is	OIFE
me person m	aking this statement is	(JUL 3 0 2001 E)
	(check eac	th applicable item)
(a) 🗆	the inventor(s) who signs	below PADEMIRY OF
·		SIGNATURE OF INVENTOR
	w.	(type name of inventor who is signing)
(b) 🗆	an individual associated wi cution of this application	
	•	SIGNATURE OF INVENTOR
•		(type name of inventor who is signing)
(c)	the practitioner who signs the information:	below on the basis of
	(check eac	h applicable item)
	supplied by the i	nventor(s).
		dividual associated with the filing and prosecution 1. (37 C.F.R. § 1.56(c))
	in the practitioner	
		SIGNATURE OF PRACTITIONER
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